## Indcap Advisors Private Limited

Investors Complaints Data – September 2024

## Data for every month ending

| S.<br>No | Received from                 | Pending<br>as at<br>the end<br>of last<br>month | Received<br>during<br>the<br>particular<br>month | Resolved<br>during<br>the<br>particular<br>month* | Total<br>Pending<br>during<br>the<br>particular<br>month # | Pending<br>complaints<br>> 1 month | Average<br>Resolution<br>time^\<br>(in days) |
|----------|-------------------------------|---|--|---|--|------------------------------------|--|
| 1        | Directly from Investors       | NIL   | NIL  | NIL   | NIL  | NIL                                | NIL  |
| 2        | SEBI (SCORES)                 | NIL   | NIL  | NIL   | NIL  | NIL                                | NIL  |
| 3        | Stock Exchanges (if relevant) | NIL   | NIL  | NIL   | NIL  | NIL                                | NIL  |
| 4        | Other Sources (if any)        | NIL   | NIL  | NIL   | NIL  | NIL                                | NIL  |
| 5        | Grand Total                   | NIL   | NIL  | NIL   | NIL  | NIL                                | NIL  |

## Trend of monthly disposal of complaints (For 5 months on rolling basis) -

| S. No | Month          | Carried forward<br>from previous<br>month | Received during<br>the particular<br>month | Resolved during<br>the particular<br>month* | Pending at the<br>end of the<br>particular<br>month # |
|-------|----------------|---|--|---|---|
| 1     | May 2024       | NIL                                       | NIL  | NIL   | NIL   |
| 2     | June 2024      | NIL                                       | NIL  | NIL   | NIL   |
| 3     | July 2024      | NIL                                       | NIL  | NIL   | NIL   |
| 4     | August 2024    | NIL                                       | NIL  | NIL   | NIL   |
| 5     | September 2024 | NIL                                       | NIL  | NIL   | NIL   |
| 6     | Grand Total    | NIL                                       | NIL  | NIL   | NIL   |

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

\* Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month

## Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis) -

| S. No | Month       | Carried forward<br>from previous<br>year | Received during<br>the particular<br>year | Resolved during<br>the particular<br>year | Pending at the<br>end of the<br>particular year |
|-------|-------------|--|---|---|---|
| 1     | 2020        | NA                                       | NA  | NA  | NA  |
| 2     | 2021        | NA                                       | NA  | NA  | NA  |
| 3     | 2022        | NA                                       | NA  | NA  | NA  |
| 4     | 2023        | NIL                                      | NIL                                       | NIL                                       | NIL   |
| 5     | 2024        | NIL                                      | NIL                                       | NIL                                       | NIL   |
| 6     | Grand Total | NIL                                      | NIL                                       | NIL                                       | NIL   |